

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yamazaki, et al.      Art Unit : 2673  
Serial No.: 09/504,235      Examiner : David L. Lewis  
Filed : February 15, 2000      Confirmation No. 7610  
Title : METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

#18

**BOX ISSUE FEE**

Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR INITIALED PTO-1449 FORM

SIR:

Upon reviewing the file, Applicants noted that they have not received an initialed copy of the enclosed PTO-1449 Form filed on August 28, 2002.

Applicants respectfully request that the Examiner initial and return this form as soon as possible. Note, Applicants must pay the issue fee by December 19, 2002, so the Examiner's prompt attention to this matter would be greatly appreciated.

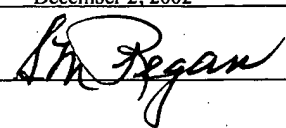
**CERTIFICATE OF TRANSMISSION BY FACSIMILE**

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

December 2, 2002

Date of Transmission

Signature



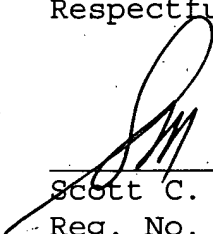
Susan Regan

Typed or Printed Name of Person Signing Certificate

Applicants believe that no fee is due; however, please charge Deposit Account No. 06-1050 for any fees due or any credits.

Respectfully submitted,

Date: \_\_\_\_\_

  
\_\_\_\_\_  
Scott C. Harris  
Reg. No. 32,030

**PTO Customer No. 20985**



Fish & Richardson P.C.  
4350 La Jolla Village Drive, Suite 500  
San Diego, California 92122  
(858) 678-5070 telephone  
(858) 678-5099 facsimile

10233991.doc

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-008004	Application No. 09/504,235
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Yamazaki, et al.	
		Filing Date February 15, 2000	Group Art Unit 2673

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5 569 610	Oct. 29, 1996	Zhang et al.			
	AB	5 614 733	Mar 25, 1997	Zhang et al.			
	AC	5 783 468	Jul 21, 1998	Zhang et al.			
	AD	5 608 232	Mar 4, 1997	Yamazaki et al.			
	AE	6 376 333	Apr 23, 2002	Yamazaki et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	4-178633	6/25/1992	JP			ABSTRACT	
	AM	6-244103	9/2/1994	JP			ABSTRACT	
	AN	6-318701	11/15/1994	JP			ABSTRACT	
	AO	8-288522	11/1/1996	JP			ABSTRACT	
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

\*\*\*\*\*  
\*\*\* TX REPORT \*\*\*  
\*\*\*\*\*

TRANSMISSION OK

TX/RX NO	0439
CONNECTION TEL	07977#001001#17038729315
CONNECTION ID	
ST. TIME	12/02 11:15
USAGE T	01'14
PGS. SENT	4
RESULT	OK

Attorney's Docket No.: 07977-008004  
Client's Ref. No.: US2938D1D2

**OFFICIAL COMMUNICATION****FACSIMILE****FOR THE PERSONAL ATTENTION OF:****EXAMINER DAVID L. LEWIS****GROUP 2673 FAX NO: 1-703-872-9315**

Number of pages including this page 4

Applicant : Yamazaki, et al.  
Serial No. : 09/504,235  
Filed : February 15, 2000

Art Unit : 2673  
Examiner : David L. Lewis

**FACSIMILE COMMUNICATION**

Title : METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

**BOX ISSUE FEE**

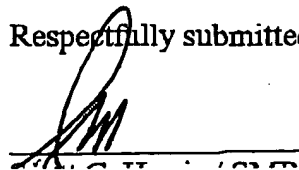
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached to this facsimile communication cover sheet is a Request for Initialed  
PTO-1449 Form, faxed this 2<sup>nd</sup> day of December, 2002, to Group 2673, the United States Patent  
and Trademark Office.

Respectfully submitted,

Date: December 2, 2002



T0:Auto-reply fax to 858 678 5099 COMPANY:

## Auto-Reply Facsimile Transmission



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

TO:

Fax Sender at 858 678 5099

Fax Information

Date Received:

12/2/02 2:17:41 PM [Eastern Standard Time]

Total Pages:

4 (including cover page)

**ADVISORY:** This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received  
Cover  
Page

=====&gt;

12/02/2002 11:15 FAX 658 678 5099

FISH AND RICHARDSON PC

001/004

Attorney's Docket No.: 07977-003004  
Client's Ref. No.: US293KD1D2

## OFFICIAL COMMUNICATION

## FACSIMILE

## FOR THE PERSONAL ATTENTION OF:

EXAMINER DAVID L. LEWIS

GROUP 2673 FAX NO: 1-703-872-9315

Number of pages including this page 4

Applicant : Yamazaki, et al.  
Serial No. : 09/504,235  
Filed : February 15, 2000

Art Unit : 2673  
Examiner : David L. Lewis

## FACSIMILE COMMUNICATION

Title : METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

BOX ISSUE FEE  
Commissioner for Patents  
Washington, D.C. 20231


Sir:

Attached to this facsimile communication cover sheet is a Request for Initialed  
PTO-1449 Form, faxed this 2<sup>nd</sup> day of December, 2002, to Group 2673, the United States Patent  
and Trademark Office.

Respectfully submitted,

Date: December 2, 2002

Scott C. Harris / SMR  
Reg. No. 32,030

PTO Customer No. 20985   
Fish & Richardson P.C.  
4350 La Jolla Village Drive, Suite 500  
San Diego, California 92122  
Telephone: (858) 678-5070  
Fax: (858) 678-5099

10233992.doc

NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential  
information. If you have received this facsimile in error, please immediately call us collect at  
(858) 678-5070 to arrange for its return. Thank you.

Received from &lt; 858 678 5099 &gt; at 12/2/02 2:17:41 PM [Eastern Standard Time]

BEST AVAILABLE COPY